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PTO/SB/21 (03-03)
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	_	Application Number	New Application	
TRANSMITTAL		Filing Date		
FORM		First Named Inventor	Graham Swift	
(to be used for all correspondence after initial	filing)	Art Unit	1711	
		Examiner Name	Terressa M Boykin	
Total Number of Pages in This Submission	61	Attorney Docket Number	103-008-CIP	
	ENC	LOSURES (Check all tha	t apply)	
Fee Transmittal Form Fee Attached Amendment/Reply After Final Affidavits/declaration(s) Extension of Time Request Express Abandonment Request Information Disclosure Statement Certified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53		Drawing(s)  Licensing-related Papers  Petition  Petition to Convert to a  Provisional Application  Power of Attorney, Revocation  Change of Correspondence Addr  Terminal Disclaimer  Request for Refund  CD, Number of CD(s)	After Allowance Communication to a Technology Center (TC)  Appeal Communication to Board of Appeals and Interferences Appeal Communication (Appeal Notice, Brief, Reply Brief)  Proprietary Information  Status Letter Other Enclosure(s) (please Identify below):	
	TURE	OF APPLICANT, ATTORN	EY, OR AGENT	
Firm or Individual Signature  Date  Stamatios Mylonakis; Registration Number: 42,921  Signature  10/3/03				
1 10/3//03				
CERTIFICATE OF TRANSMISSION/MAILING				
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date:				
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Signature			Date	

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

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## IN THE UNITED STATES PATENT & TRADEMARK OFFICE

IN RE:

APPLICATION OF: Swift et al

SERIAL NO: New Application

**CONFIRMATION NO.:** 

FILED:

EXAMINER: Terressa M Boykin GROUP ART UNIT: 1711

DOCKET No.: 103-008-CIP

FOR: Methods of Synthesis of Polysuccinimide, Copolymers of Polysuccinimide and Derivatives thereof

## FILING OF NEW UTILITY APPLICATION

DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE P.O. Box 1450 Alexandria, Virginia 22313-1450

## SIR:

Attached hereto for filing are the following papers:

- 1. Application (Disclosure 43 pages; 100 Claims, 3 Drawings)
- 2. Cover Letter Authorization to Charge (2 pages)
- 3. White Advance Serial Number Card (1 page)
- 4. Credit Card Payment Form (1 page)
- 5. Application Data Sheet (1 pages)
- 6. Utility Patent Application Transmittal (1 page)
- 7. Transmittal Form (1 page)
- 8. Fee Transmittal (1 page)
- 9. Fee Determination Record (1 page)
- 10. Declaration of Utility (2 pages)
- 11. Power of Attorney (1 pages)
- 12. Recordation Form Cover Sheet (1 page)
- 13. Assignment of Application (1 page)
- 14. IDS (1 page and 5 references)

Credit card authorization is attached for \$ 1,360.00 to cover the filing of this Application. In the event any variance exists between the amount enclosed and the Patent Office charges for filing the above-noted documents, including any fees required under 37 CFR 1.136 for any necessary Extension of Time to make the filing of the attached documents timely, please charge or credit the difference to the Deposit Account Number 502674. Further, if these papers are not considered timely filed, then a petition is hereby made under 37 C.F.R. 1.136 for the necessary extension of time.

Customer No.: 28727

Respectfully submitted,

Stamatios Mylonakis, Ph.D.

Registration No. 42,921

PTO/SB/17 (05-03) Approved for use through 04/30/2003. OMB 0651-0032

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## FEE TRANSMITTAL for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT

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С	mplete if Known
Application Number	New Application
Filing Date	
First Named Inventor	Graham Swift
Examiner Name	Terressa M Boykin
Art Unit	1711
Attorney Docket No.	103-008-CIP

METHOD OF PAYMENT (check all that apply)		FEE CALCULATION (continued)				
Check Credit card Money Other None		3. ADDITIONAL FEES				
Deposit Account:		Entity	Small	Entity	,	
Denneit		Fee (\$)	Fee Code	Fee (\$)	Fee Description	Far Daid
Account Number 502674	1051	130	2051	• •	Surcharge - late filing fee or oath	Fee Paid
Deposit	1052	50	2052		Surcharge - late provisional filing fee or	
Account Name	4050	400			cover sheet	
The Director is authorized to: (check all that apply)	1053 1812	130	1053		Non-English specification  For filing a request for ex parte reexamination	
Charge fee(s) indicated below Credit any overpayments	1804	920*	1804	_,	Requesting publication of SIR prior to	
Charge any additional fee(s) during the pendency of this application	1004	920	1004	920	Examiner action	
Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.	1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
FEE CALCULATION	1251	110	2251	55	Extension for reply within first month	ŀ
1. BASIC FILING FEE	1252	410	2252	205	Extension for reply within second month	
Large Entity Small Entity	1253	930	2253	465	Extension for reply within third month	
Fee Fee Fee Fee Pee Description Fee Paid Code (\$) Code (\$)	1254	1,450	2254	725	Extension for reply within fourth month	
1001 750 2001 275   Hillity Sling for	1255	1,970	2255	985	Extension for reply within fifth month	
1002 330 2002 165 Design filing fee 385	1401	320	2401	160	Notice of Appeal	
1003 520 2003 260 Plant filing fee	1402	320	2402		Filing a brief in support of an appeal	
1004 750 2004 375 Reissue filing fee	1403	280	2403		Request for oral hearing	
1005 160 2005 80 Provisional filing fee	1451	1,510	1451	1,510	Petition to institute a public use proceeding	
SUBTOTAL (1) (\$) 385	1452	110	2452	55	Petition to revive - unavoidable	
		1,300	2453	650	Petition to revive - unintentional	
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE		1,300	2501	650	Utility issue fee (or reissue)	
Ext <u>ra Claims below</u> Fee Paid	1502	470	2502	235	Design issue fee	
Total Claims 100 -20** = 80 x 9 = 720 Independent 9 215	1503	630	2503	315	5 Plant issue fee	
Claims 0 -3" = 3 × 43 = 23	1460	130	1460	130	Petitions to the Commissioner	
Multiple Dependent 0 =0	1807	50	180	7 50	Processing fee under 37 CFR 1.17(q)	
Large Entity   Small Entity Fee Fee Fee Fee Fee Description	1806	180	1806	180	Submission of Information Disclosure Stmt	40
Code (\$) Code (\$)	8021	40	802 <sup>-</sup>	1 40	Recording each patent assignment per property (times number of properties)	
1202	1809	750	2809	375	Filing a submission after final rejection (37 CFR 1.129(a))	
1203 280 2203 140 Multiple dependent claim, if not paid	1810	750	2810	375	For each additional invention to be	
1204 84 2204 42 ** Reissue independent claims over original patent	1801	750	2801	375	examined (37 CFR 1.129(b))  Request for Continued Examination (RCE)	
1205 18 2205 9 ** Reissue claims in excess of 20 and over original patent	1802	900	1802		Request for expedited examination of a design application	
SUBTOTAL (2) (\$) 935		Other fee (specify)				
**or number previously paid, if greater; For Reissues, see above	*Redu	iced by	Basic	Filing F	ee Paid SUBTOTAL (3) (\$) 40	

SUBMITTED BY

Name (Print/Type)

Stamatios Mylonakis

Registration No. (Attornev/Agent)

Alege 10/31/03

Signature

(Complete (if applicable)

Telephone 301-527-1531

Date 10/31/03

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